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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,570	03/22/2001	Isamu Terasaka	SCEI 3.0-060

CONFIRMATION NO. 4541

FORMALITIES LETTER



\*OC000000006054894\*

LERNER, DAVID, LITTENBERG,  
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600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090-1497

Date Mailed: 05/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

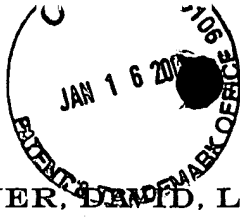
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/23/2002 ANAB11 00000016 121095 09814570  
01 FC:105 130.00 CH



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October 29, 2001

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Commissioner For Patents  
Office of Initial Patent Examination  
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Washington, D.C. 20231

Re: Our File: SCEI 3.0-060  
Appln. No. 09/814,570  
Filing Date: March 22, 2001  
Group Art Unit: 2652

Dear Sir:

We are enclosing herewith a copy of the filing receipt for the above-identified patent application.

Please note that the enclosed filing receipt contains an error. The third applicant has inadvertently been omitted. Therefore, please INSERT the third applicant to read --**TOSHIMITSU OHDAIRA, Tokyo, Japan--**.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

ARNOLD B. DOMPIERI  
Reg. No. 29,736

ABD:ls  
Enclosure  
311477\_1.DOC



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/814,570	03/22/2001	2652	870	SCEI 3.0-060	7	8	5

CONFIRMATION NO. 4541

## FILING RECEIPT



\*OC000000006054893\*

 LERNER, DAVID, LITTENBERG,  
 KRUMHOLZ & MENTLIK, LLP  
 600 SOUTH AVENUE WEST  
 WESTFIELD, NJ 07090-1497

Date Mailed: 05/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Isamu Terasaka, Tokyo, JAPAN;  
 Hiroshi Yamamoto, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-80303 03/22/2000

If Required, Foreign Filing License Granted 05/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Apparatus and method for reproducing stream data and recording medium therefor

**RECEIVED**

MAY 14 2001

**LDLK&M**

Preliminary Class

369

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Data entry by : ASRAT, FANAYE

Team : OIPE

Date: 05/08/2001

[REDACTED]

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: October 29, 2001

Signature:

(Arnold B. Dompieri)

Receipt #  
\$  
Docket No.: SCEI 3.0-060  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Patent Application of:  
Terasaka et al.

Application No.: 09/814,570

Group Art Unit: 2652

Filed: March 22, 2001

Examiner: Not Yet Assigned

For: APPARATUS AND METHOD FOR  
REPRODUCING STREAM DATA AND  
RECORDING MEDIUM THEREFOR

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

Dated: October 29, 2001

Respectfully submitted,

By

Arnold B. Dompieri

Registration No.: 29,736

LERNER, DAVID, LITTENBERG,  
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